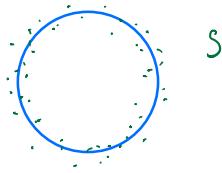


## Constructions of complexes

Setting:  $X$  a metric space (typically  $\mathbb{R}^n$ )

$S \subset X$  a finite subset (Possibly representing some shape)



TASK: Model the shape by some  $\text{ascx}$  on  $S$ .

Def: Rips cx (Vietoris-Rips complex)

choose a scale  $r > 0$

$\text{Rips}(S, r)$  is a  $\text{ascx}$ :

① Vertices =  $S$

②  $\alpha \in \text{Rips}(S, r) \Leftrightarrow \text{diam } \alpha \leq r$ .

Properties:

• easy to construct

•  $\text{Rips}$  is an  $\text{ascx}$ , typically not embeddable into  $X$ .

∴  $\text{Rips}(S, r)$  is a discrete set for small  $r$ .

∴  $\text{Rips}(S, r) = \Delta^{|\mathcal{S}| - 1}$  for large  $r$ .

∴  $r_1 < r_2 \Rightarrow \text{Rips}(S, r_1) \hookrightarrow \text{Rips}(S, r_2)$

∴  $\text{Rips}$  filtration  $\{\text{Rips}(S, r)\}_{r>0}$

∴  $\text{Rips}$  complex is a special case of  $\text{Clique cx}$ .

↪ a graph

$\text{Clique}(S)$  is a  $\text{ascx}$ :

$\{v_0, v_1, \dots, v_n\} \in \text{Clique}(S) \Leftrightarrow \forall i, j : v_i, v_j \in S$

Def: Čech cx

Choose scale  $r > 0$

$B(x, r) = \{y \in X ; d(x, y) \leq r\}$

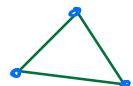
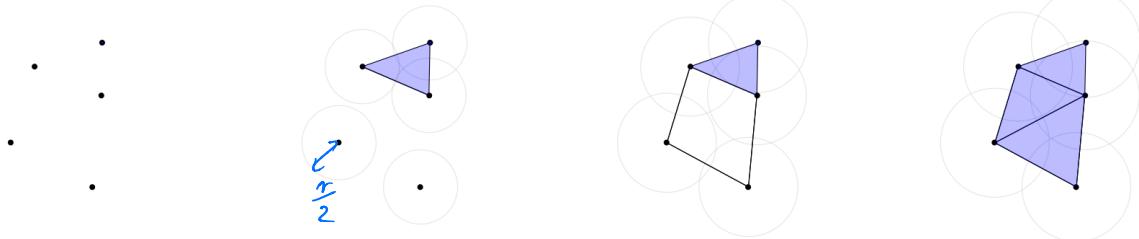
$\text{Čech}(S, r)$  is an  $\text{ascx}$ :

① Vertices ... points of  $S$

②  $\alpha \in \text{Čech}(S, r) \Leftrightarrow \bigcap_{x \in \alpha} B(x, r) \neq \emptyset \Leftrightarrow \exists y \in X : B(y, r) \supseteq \alpha$

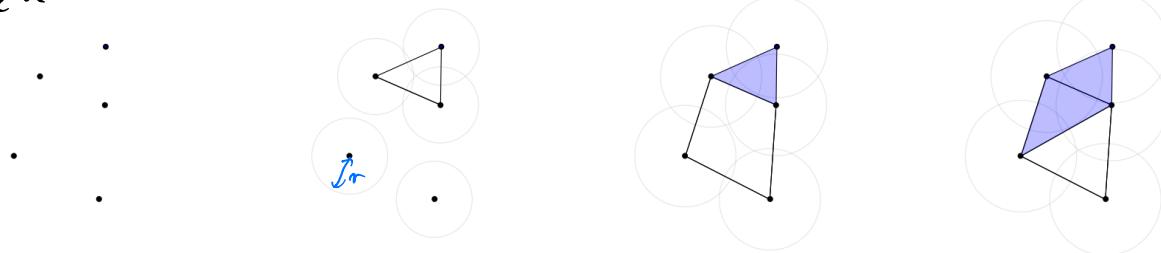
- Properties:
- easy to construct
  - Čech is an ascx, typically not embeddable into  $X$ .
  - $\text{Čech}(S, r)$  is a discrete set for small  $r$ .
  - $\text{Čech}(S, r) = \Delta^{|S|-1}$  for large  $r$ .
  - $r_1 < r_2 \Rightarrow \text{Čech}(S, r_1) \hookrightarrow \text{Čech}(S, r_2)$
  - $\therefore \text{Čech} \text{ filtration } \{\text{Čech}(S, r)\}_{r>0}$

Rips



does not happen in Rips

Čech

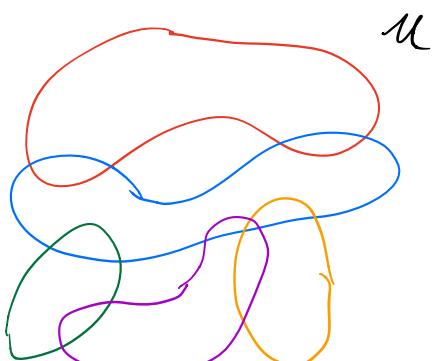
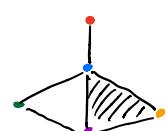


Def: Let  $\mathcal{U}$  be a collection of subsets of  $X$ .

Nerve  $\mathcal{N}(\mathcal{U})$  is an ascx:

- Vertices ... elements of  $\mathcal{U}$
- $a \in \mathcal{N}(\mathcal{U}) \Leftrightarrow \bigcap_{U \in a} U \neq \emptyset$ .

$\mathcal{N}(\mathcal{U})$



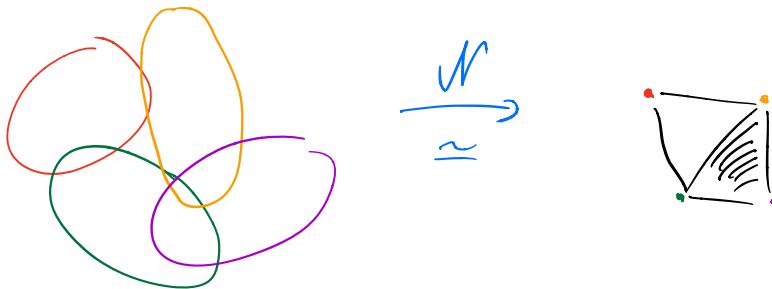
$$\text{Čech}(S, r) = \mathcal{N}\left(\left\{B(x, r)\right\}_{x \in S}\right)$$

Nerve THM:  $\mathcal{U} = \{U_1, U_2, \dots, U_k\}$  consists of closed convex sets in  $\mathbb{R}^d$ .

$$\text{Then } N(\mathcal{U}) \cong \bigcup_{i=1}^k U_i.$$

Corollary:  $\bar{\text{Cech}}(S, r) \cong \bigcup_{x \in S} B(x, r)$ .

Example:



A connection between Rips & Čech:

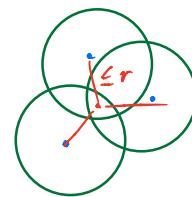
$$\rightarrow \text{If } X = \mathbb{R}^d \Rightarrow \text{Rips}^{(1)}(X, r) = \bar{\text{Cech}}^{(1)}(X, \frac{r}{2})$$

$$\rightarrow \text{Rips}(S, 2r) \supseteq \bar{\text{Cech}}(S, r)$$

$$\text{Rips}(S, r) \subseteq \bar{\text{Cech}}(S, r)$$

$\downarrow$  for  $X = \mathbb{R}^d$  [Jung's THM]

$$\text{Rips}(S, r\sqrt{2}) \subseteq \bar{\text{Cech}}(S, r)$$



A few more examples of Nerves:

① Delaunay triangulation is the nerve of the Voronoi decomposition.

②  $\alpha$ -complexes:

$$S = \{v_1, v_2, \dots, v_n\} \subset \mathbb{R}^n$$

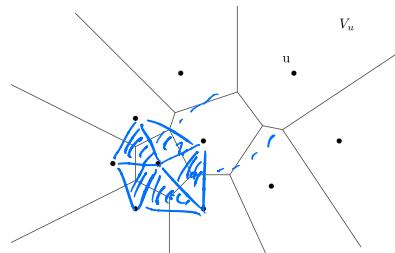
$\forall i$ :  $V_i$  = the Voronoi region of  $v_i$

Define  $\forall i$ :  $A_i = V_i \cap B(v_i, r)$

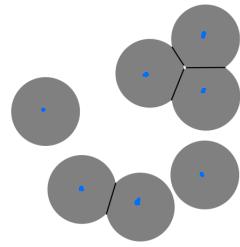
$\alpha$ -cx @  $r > 0$ :  $N(A_i)$

\* Representable in  $\mathbb{R}^n$

\* models molecules, proteins, etc.

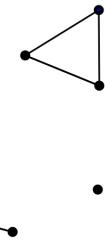


$\alpha$ -shapes

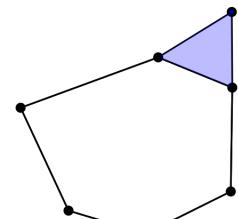
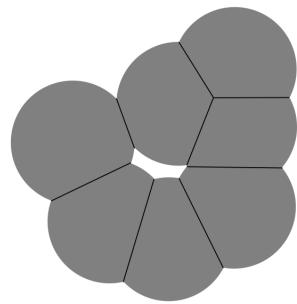


$\Delta$ -cx

$\approx$



$\approx$



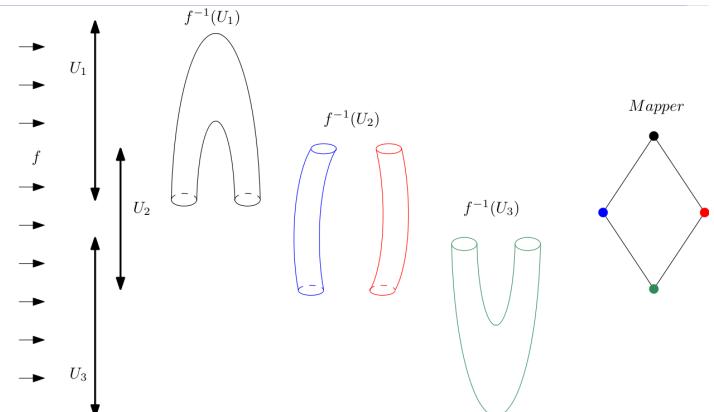
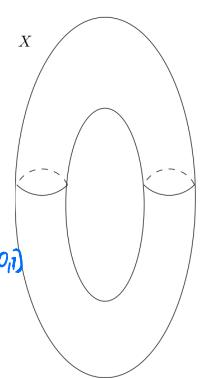
### ③ Mapper

Theoretically:

$X \dots \text{space}$

$f: X \rightarrow [0, 1]$

$\mathcal{U} = \{U_i\}$  cover of  $[0, 1]$



Mapper is a graph:

→ vertices ... components of  $f^{-1}(U_i)$

→ edge A-B  $\Leftrightarrow A \cap B \neq \emptyset$

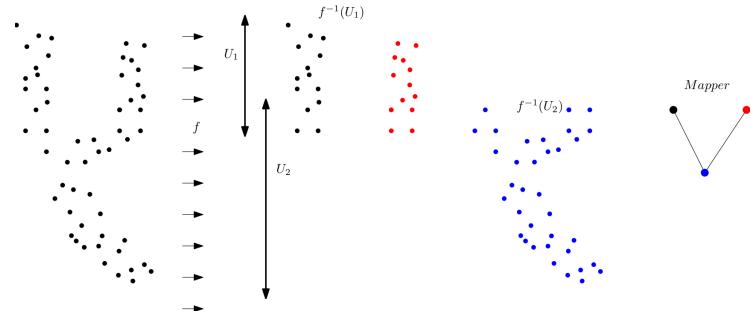
Practically:

$X$  is a point cloud

$f: X \rightarrow I$  a measurement

$\mathcal{U}$  ... partition of  $I$

choose a clustering scheme



Mapper is a graph:

→ vertices: clusters of  $f^{-1}(U_i)$

→ edges: as before

Computing Čech complexes.

Constructing  $\check{\text{C}}\text{ech}(S, r)$ :

→ For  $\sigma \in S$  compute the minimal ball  $B(\sigma, \delta)$  containing  $\sigma$

→ if  $\delta \leq r$ , include  $\sigma$  into  $\check{\text{C}}\text{ech}(S, r)$

Miniball algorithm:

Input: disjoint sets  $\mathcal{T}, \mathcal{V}$

Output: minimal ball with:

→  $\mathcal{V}$  on the boundary  
→  $\mathcal{T}$  in the ball

} works for appropriate sets  $\mathcal{V}$  &  $\mathcal{T}$

Miniball( $\mathcal{T}, \mathcal{V}$ )

If  $\mathcal{V} = \emptyset$  compute miniball directly /\* in this case  $|\mathcal{V}| \leq n+1$  \*/

else choose  $u \in \mathcal{T}$

$B = \text{miniball}((\mathcal{T} \setminus \{u\}), \mathcal{V})$

if  $u \notin B$ ,  $B = \text{miniball}((\mathcal{T} \setminus \{u\}), \mathcal{V} \cup \{u\})$

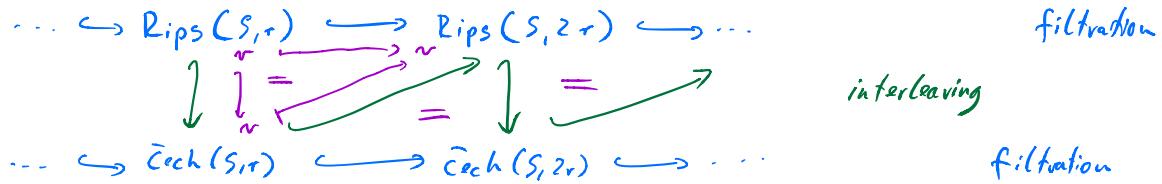
return  $B$

To get  $\text{miniball}(\sigma)$  call  $\text{Miniball}(\sigma, \emptyset)$

## Interleavings

① Rips / Čech

$$\text{Čech}(S, r) \geq \text{Rips}(S, r) \geq \text{Čech}(S, 2r)$$



Interleaving: a collection of maps between two filtrations

that commute with the bonding maps.

② Perturbation interleaving

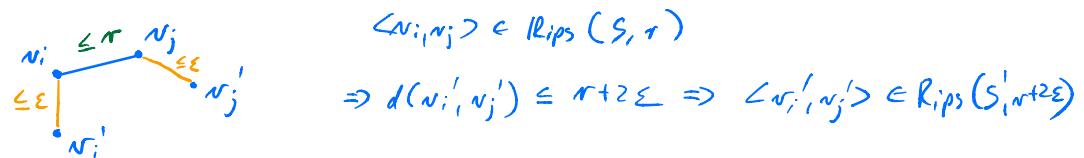
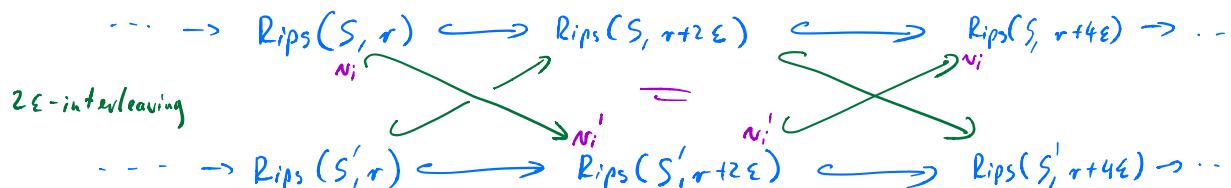
$$S = \{v_1, v_2, \dots, v_k\} \quad \text{sample} \quad \varepsilon > 0$$

(perturb)

$$S' = \{v'_1, v'_2, \dots, v'_k\} \quad H_i: d(v_i, v'_i) \leq \varepsilon$$

Problem: at each  $r > 0$   $\text{Rips}(S, r)$  &  $\text{Rips}(S', r)$  can be very different

Relief: filtrations are very similar.



Def: Filtrations  $A = \{A_i\}$  and  $B = \{B_i\}$  are  $\delta$ -interleaved for  $\delta > 0$  if

$$\cdots \rightarrow A_i \hookrightarrow A_{i+\delta} \hookrightarrow A_{i+2\delta} \rightarrow \cdots$$

$$\cdots \rightarrow B_i \xrightarrow{\delta} B_{i+\delta} \xrightarrow{\delta} B_{i+2\delta} \rightarrow \cdots$$

HW: Are each filtrations of  $S$  &  $S'$  also interleaved & what is the parameter?

## Groups

A group is a collection of elements with one invertible operation.

Dof: A group  $(A, +)$  is a set with an associative binary operation

$$+: A \times A \rightarrow A, \text{ such that: } (a+b)+c = a+(b+c)$$

a)  $\exists 0 \in A : 0+a = a+0 = a, \forall a \in A$

b)  $\forall a \in A \exists -a \in A : \underbrace{a+(-a)}_{a-a} = 0.$

A group is Abelian (commutative) if:  $\forall a, b \in A : a+b = b+a$ .

! All our groups will be abelian.

Example: •  $(\mathbb{Z}, +)$ ,  $(\mathbb{Q}, +)$ ,  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$ ,  $(\mathbb{Q} \setminus \{0\}, \cdot)$ , ...

•  $(\mathbb{R}^n, +)$

• (rotations of  $S^1$ ; composition)

• (functions:  $D \rightarrow \mathbb{R}$ , pointwise +)

•  $q \in \mathbb{N}$

$\mathbb{Z}_q = \{0, 1, \dots, q-1\}$  remainders after division by  $q$ .

operation:  $a+b \pmod{q}$  addition mod  $q$ .

Example: • in  $\mathbb{Z}_5$ :

$$\begin{array}{rcl} 1+1=2 & & 3+4=2 \\ 2+3=0 \rightsquigarrow -3=2 \end{array}$$

• in  $\mathbb{Z}_2$ :  $0+0=0$        $1+1=0$        $a+b = a \begin{cases} b \\ \oplus \\ \end{cases}$   
 $0+1=1$        $1+0=1$       exclusive or

We can also multiply in  $\mathbb{Z}_2$ :  $(\pmod{q})$

Example: • In  $\mathbb{Z}_5$ :

$2 \cdot 3 = 1$	$2 \cdot 4 = 3$	$4 \cdot 4 = 1$
$3 \cdot 3 = 4$	$0 \cdot 3 = 0$	

• In  $\mathbb{Z}_2$ :     $0 \cdot 0 = 0$   
 $0 \cdot 1 = 0$   
 $1 \cdot 1 = 1$                $a \cdot b = a \wedge b$

Can we also divide (except by 0):

$$\frac{a}{b} = a \cdot b^{-1}$$

Example:  $\mathbb{Z}_5$

$2^{-1} = 3$
$3^{-1} = 2$
$4^{-1} = 4$
$1^{-1} = 1$

If all nonzero elements in  $\mathbb{Z}_q$  have an inverse,  $\mathbb{Z}_q$  is a field.

Example:  $\mathbb{Z}_5$  is a field

$\mathbb{Z}_4$  is not a field:  $2 \cdot 2 = 0$       2 does not  
 $2 \cdot 3 = 2$   
 $3 \cdot 3 = 1$       have an inverse.

||  $\mathbb{Z}_q$  is a field iff  $q$  is a prime.